



**Village of Sleepy Hollow**  
**Department of Architecture, Land Use Development,**  
**Buildings & Building Compliance**  
28 Beekman Avenue, Sleepy Hollow, NY 10591  
Telephone (914) 366-5101 • Fax (914) 631-0607 • [www.sleepyhollowny.gov](http://www.sleepyhollowny.gov)

## REQUIREMENTS TO FILE A MECHANICAL PERMIT APPLICATION

The intent of this document is to assist the applicant with the requirements, process, and documentation necessary to file for a Mechanical Permit. **Failure to complete the application in its entirety and/or to provide the information indicated therein will result in rejection of the submission or a delay processing the application.**

**Mechanical Permit applications will not be accepted without the following:**

- Completed application (Parts 1 and 2) signed by the property owner. The property owner may complete the Proxy Statement authorizing an agent or representative to sign the application.
- Appropriate fee – check, or money order made payable to the *Village of Sleepy Hollow*.  
Mechanical Permit fee: \$50 plus \$15 per one thousand dollars of estimated cost.

“Estimated cost shall mean the reasonable market value of all labor, materials, equipment, and professional services necessary for the completion of the proposed project”

**FEES FOR LEGALIZATION WORK OR FOR WORK STARTED WITHOUT THE ISSUANCE OF A PERMIT ARE DOUBLED.**

- Survey of property – not required for interior work, repairs, or renovations.
- Three (3) sets of construction plans and specifications, drawn to scale, signed and sealed by a NYS registered architect or professional engineer as required by NYS Law; maximum format **24” x 36”**, stapled and folded no larger than **9” x 12”**.
- Completed Zoning Compliance Form – completed by a NYS registered architect or professional engineer; not required for interior work, repairs or renovations.

**A Mechanical Permit will not be issued without the following:**

- A pre-construction site/building inspection may be required to establish the specific applicability of the Building and Zoning Codes.
- Zoning Board of Appeals approval as required.
- Planning Board approval as required.
- Architectural Review Board approval as required.
- Village Board/ Special Permit approval as required.
- Copy of contractor’s Westchester County Home Improvement Contractor license.
- Copy of contractor’s Certificate of Insurance naming the *Village of Sleepy Hollow* as additionally insured.
- Copy of the contractor’s Workman’s Compensation Insurance.
- Code Rule 753 confirmation number. Any project requiring excavation, trenching or demolition must contact “Dig Safely New York” 800-962-7962 ([www.digsafelynewyork.com](http://www.digsafelynewyork.com)) at least (2) two full working days prior to excavation.
- Completed *Electrical Permit* application and fee when electrical work is involved in the scope of work.
- Completed *Plumbing Permit* application and fee when plumbing or gas work is involved in the scope of work.
- Completed *Building Permit* application and fee when construction work is involved in the scope of work.
- Completed *Fire Sprinkler Permit* application and fee when fire sprinkler work is involved in the scope of work.

**IMPORTANT NOTICES: Read before completing Building Permit Application**

1. Undertaking activity or starting work that requires a permit prior to obtaining such permit is prohibited and is a violation of the Code of the Village of Sleepy Hollow. Any person who violates any term or condition of any Building Permit, Certificate of Occupancy/Certificate of Compliance, Temporary Certificate, Stop-work Order, Operating Permit or other notice or order issued by the Building Inspector shall be liable to a civil penalty of not less than \$250 nor more than \$1,000 for each day or part thereof during which such violation continues.
2. New York State Law requires permit applicants to maintain Worker's Compensation and Disability Insurance for their employees. No permit will be issued unless currently valid Worker's Compensation and Disability Insurance certificates are attached to this application. If the permit applicant believes they are exempt from the requirements to provide Worker's Compensation and Disability Insurance, they must complete form BP-1 as applicable.
3. Work conducted pursuant to a permit must be visually inspected by the Department of Architecture, Land Use Development, Buildings and Building Compliance and must conform to the New York State Uniform Fire Prevention and Building Code, the Code of the Village of Sleepy Hollow, and all other applicable codes, rules or regulations.
4. It is the permit holder's responsibility to contact the Department of Architecture, Land Use Development, Buildings and Building Compliance at (914) 366-5116 Monday through Friday 8:30 a.m. to 4:30 p.m. at least 48 hours before the permit holder wishes to have an inspection conducted. **DO NOT PROCEED TO THE NEXT STEP OF CONSTRUCTION IF WORK HAS NOT BEEN INSPECTED.** Covered and non-accessible work will need to be removed at the permit holder's expense to conduct the required concealed inspections. A **\$100** fee will be charged for all **RE-INSPECTIONS**.
5. An Amended Permit application must be filed with the Department for any change(s) in the scope of work or application information once a permit is issued. Unauthorized work shall not commence until an Amended Permit is issued.
6. The permit does not include any privilege of encroachment in, over, under, or upon any Village street or right-of-way. A separate Street Opening Permit is required for work within the Village right-of-way.
7. When a Certificate of Occupancy/Compliance is required for new construction, the structure shall **NOT BE OCCUPIED** until said certificate has been issued.
8. Work undertaken pursuant to the permit is conditioned upon and subject to any state and federal regulations relating to asbestos material.
9. Construction work is permitted between the hours of 8:00 a.m. and 7:00 p.m. weekdays, and on Saturday, between the hours of 9:00 a.m. and 6:00 p.m. No construction shall be permitted on Sundays and holidays unless of an emergency nature and unless permission is first obtained from the Police Department and Department of Architecture, Land Use Development, Buildings and Building Compliance.
10. The permit must be displayed so to be visible from the street nearest to the site of the work being conducted.
11. Permits shall become invalid unless the authorized work is commenced within six months following the date of issuance. Permits shall expire 12 months after the date of issuance. A permit which has become invalid or which has expired may be renewed upon the submission of an application for Permit Extension, payment of the applicable fee, and approval of the application by the Building Inspector.



More than a Legend

# Village of Sleepy Hollow

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<b>MECHANICAL PERMIT APPLICATION: PART 1</b>		<input type="checkbox"/> NEW <input type="checkbox"/> AMENDMENT <input type="checkbox"/> EXTENSION
LOCATION	Section:      Block:      Lot:	OFFICE USE
	Address:	
	Zoning District:	
	Permit number:	
Date received:		Date issued:
Expiration date:		
OWNER	Name:	Phone number:
	Address:	Cellular number:
		Fax number:
		E-mail:
CONTRACTOR	Name:	Phone number:
	Address:	Cellular number:
		Fax number:
	License number:	E-mail:
DESCRIPTION	Description of work (complete Part 2):	
FEE	\$50 application fee plus \$15 per \$1,000 of estimated project cost.      Estimated project cost:	
	Permit fee:\$	<input type="checkbox"/> Cash <input type="checkbox"/> Credit card <input type="checkbox"/> Money order <input type="checkbox"/> Check number: _____
<p>I hereby certify that I have read the instructions and examined this application and know the same to be true and correct. All provisions of laws and ordinances covering this work will be complied with whether specified herein or not.</p> <p style="text-align: center;"><b>Proxy Statement is required when anyone other than the property owner is signing an application.</b></p> <p>Owner/ Applicant (Print) : _____</p> <p>Owner/ Applicant signature: _____ Date: _____</p>		

DO NOT BEGIN WORK UNTIL YOU HAVE RECEIVED AN ACTUAL PERMIT

January 2016

## EQUIPMENT TABLE- PART 2

EQUIPMENT/SYSTEM TYPE	DESCRIPTION	LOCATION and QUANTITY											
		Yard/ Court	Basement	Garage	First Floor	Second Floor	Third Floor	Fourth Floor	Fifth Floor	Sixth Floor	Attic	Roof	
Air conditioning													
Air handler													
Condenser													
Air cleaner													
Boiler													
Chimney													
Chimney liner													
Clothes dryer													
Combustion air supply													
Cooling tower													
Direct vent													
Energy recovery ventilation													
Exhaust systems													
Fireplace													
Fire Suppression systems													
Furnace													
Geothermal system													
Generator													
Heat pump													
Humidifier													
Infrared radiant heater													
Kitchen exhaust-commercial													
Kitchen exhaust-domestic													
Makeup air													
Mechanical ventilation													
Pellet stove													
Pool/spa													
Refrigeration													
Sauna heater													
Smoke control systems													
Solar systems													
Stationary fuel cells													
Sub slab exhaust systems													
Tank-above ground													
Tank-underground													
Tank removal													
Unit heater													
Water heater/storage													
Other (specify)													



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**PROXY STATEMENT**

**Proxy Statement is required when anyone other than the property owner is signing an application.**

I, \_\_\_\_\_ being duly sworn, deposes and says that he/she  
(Print Owner's full name)

resides at \_\_\_\_\_ in the County of \_\_\_\_\_  
(Street, City) (County)

State of \_\_\_\_\_, and that he/she own the property located at \_\_\_\_\_,  
(State) (Street Address)

the property described in the attached application, herby authorizes and empowers

\_\_\_\_\_ to sign and make the attached application for  
(Applicant's name)

\_\_\_\_\_ and to represent the application at all Board/ Commission meetings.  
(Application type)

Sworn to before me this

\_\_\_\_\_ day of \_\_\_\_\_, 2016

\_\_\_\_\_  
Signature of Owner

Seal

\_\_\_\_\_  
Notary Public