

## Chapter 10

### ADMINISTRATION AND ENFORCEMENT OF THE NEW YORK STATE UNIFORM FIRE PREVENTION AND BUILDING CODE

Local Law No. XX of 2010 amending

Local Law No. 4 of 2007.

Be it enacted by the Board of Trustees of the Village of Sleepy, in the County of Westchester, as follows:

**§ 10-1. Purpose and Intent.**

This local law provides for the administration and enforcement of the New York State Uniform Fire Prevention and Building Code (the Uniform Code) and the State Energy Conservation Construction Code (the Energy Code) in this Village. This local law is adopted pursuant to section 10 of the Municipal Home Rule Law. Except as otherwise provided in the Uniform Code, other state law, or other section of this local law, all buildings, structures, and premises, regardless of use or occupancy, are subject to the provisions this local law.

**§ 10-2. Definitions.**

In this local law:

**BUILDING PERMIT**- shall mean a permit issued pursuant to section 4 of this local law. The term "Building Permit" shall also include a Building Permit which is renewed, amended or extended pursuant to any provision of this local law.

**CERTIFICATE OF OCCUPANCY / CERTIFICATE OF COMPLIANCE**- shall mean a certificate issued pursuant to subdivision (B) of section 7 of this local law.

~~CODE ENFORCEMENT OFFICER~~ **BUILDING INSPECTOR**- shall mean the ~~Code Enforcement Officer~~ **Building Inspector** appointed pursuant to subdivision (B) of section 3 of this local law.

**CODE ENFORCEMENT PERSONNEL**- shall include the ~~Code Enforcement Officer~~ **Building Inspector** and all Inspectors.

**COMPLIANCE ORDER**- shall mean an order issued by the ~~Code Enforcement Officer~~ **Building Inspector** pursuant to subdivision (A) of section 15 of this local law.

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ENERGY CODE- shall mean the State Energy Conservation Construction Code, as currently in effect and as hereafter amended from time to time.

INSPECTOR- shall mean an inspector appointed pursuant to subdivision (D) of section 3 of this local law.

OPERATING PERMIT-shall mean a permit issued pursuant to section 10 of this local law. The term "Operating Permit" shall also include an Operating Permit which is renewed, amended or extended pursuant to any provision of this local law.

PERMIT HOLDER- shall mean the Person to whom a Building Permit has been issued.

PERSON-shall include an individual, corporation, limited liability company, partnership, limited partnership, business trust, estate, trust, association, or any other legal or commercial entity of any kind or description.

STOP WORK ORDER- shall mean an order issued pursuant to section 6 of this local law.

TEMPORARY CERTIFICATE- shall mean a certificate issued pursuant to subdivision (D) of section 7 of this local law.

UNIFORM CODE-shall mean the New York State Uniform Fire Prevention and Building Code, as currently in effect and as hereafter amended from time to time.

VILLAGE- shall mean the Village of Sleepy Hollow.

**§ 10-3. ~~Code Enforcement Officer~~ Building Inspector and Inspectors.**

A. ~~The office of Code Enforcement Officer is hereby created. The Code Enforcement Officer~~ Building Inspector shall administer and enforce all the provisions of the Uniform Code, the Energy Code and this local law. ~~The Code Enforcement Officer~~ Building Inspector shall have the following powers and duties:

- (1) to receive, review, and approve or disapprove applications for Building Permits, Certificates of Occupancy / Certificates of Compliance, Temporary Certificates and Operating Permits, and the plans, specifications and construction documents submitted with such applications;
- (2) upon approval of such applications, to issue Building Permits, Certificates of Occupancy / Certificates of Compliance, Temporary Certificates and Operating Permits, and to include in Building Permits, Certificates of Occupancy / Certificates of Compliance, Temporary Certificates and Operating Permits such terms and conditions as the Code Enforcement Officer may determine to be appropriate;
- (3) to conduct construction inspections, inspections to be made prior to the issuance of Certificates of Occupancy / Certificates of Compliance,

Temporary Certificates and Operating Permits, fire safety and property maintenance inspections, inspections incidental to the investigation of complaints, and all other inspections required or permitted under any provision of this local law;

- (4) to issue Stop Work Orders;
- (5) to review and investigate complaints;
- (6) to issue orders pursuant to subdivision (A) of section 15 (Violations) of this local law;
- (7) to maintain records;
- (8) to collect fees as set by the Board of Trustees of this Village;
- (9) to pursue administrative enforcement actions and proceedings;
- (10) in consultation with this Village's attorney, to pursue such legal actions and proceedings as may be necessary to enforce the Uniform Code, the Energy Code and this local law, or to abate or correct conditions not in compliance with the Uniform Code, the Energy Code or this local law; and
- (11) to exercise all other powers and fulfill all other duties conferred upon the ~~Code Enforcement Officer~~ Building Inspector by this local law.

B. The ~~Code Enforcement Officer~~ Building Inspector shall be appointed by Mayor of this Village. The ~~Code Enforcement Officer~~ Building Inspector shall be a registered architect or licensed professional engineer of the State of New York or a builder with at least 10 years recent ~~shall possess background~~ experience related to building construction ~~or~~ and fire prevention, and The Building Inspector shall, within the time prescribed by law, obtain such basic training, in-service training, advanced in-service training and other training as the State of New York shall require for code enforcement personnel, and the ~~Code Enforcement Officer~~ Building Inspector shall obtain certification from the State Fire Administrator pursuant to the Executive Law and the regulations promulgated thereunder.

C. In the event that the ~~Code Enforcement Officer~~ Building Inspector is unable to serve as such for any reason, an individual shall be appointed by Mayor of this Village to serve as Acting ~~Code Enforcement Officer~~ Building Inspector. The Acting ~~Code Enforcement Officer~~ Building Inspector shall, during the term of his or her appointment, exercise all powers and fulfill all duties conferred upon the ~~Code Enforcement Officer~~ Building Inspector by this local law. In no event shall said appointment be longer than six (6) month.

D. One or more Inspectors may be appointed to act under the supervision and direction of the ~~Code Enforcement Officer~~ Building Inspector and to assist the ~~Code Enforcement Officer~~ Building Inspector in the exercise of the powers and fulfillment of the duties conferred upon the ~~Code Enforcement Officer~~ Building Inspector by this local law. Each Inspector shall, within the time prescribed by law, obtain such basic training, in-service training, advanced in-service training and other training as the State of New York shall require for code enforcement personnel, and each Inspector shall obtain certification from the State Fire

Administrator pursuant to the Executive Law and the regulations promulgated thereunder.

- E. The compensation for the ~~Code Enforcement Officer~~ Building Inspector and Inspectors shall be fixed from time to time by the Board of Trustees of this Village.

#### § 10-4. Building Permits.

- A. Building Permits Required. Except as otherwise provided in subdivision (B) of this section, a Building Permit shall be required for any work which must conform to the Uniform Code and/or the Energy Code, including, but not limited to, the construction, enlargement, alteration, improvement, removal, relocation or demolition of any building or structure or any portion thereof, and the installation of a solid fuel burning heating appliance, chimney or flue in any dwelling unit. No Person shall commence any work for which a Building Permit is required without first having obtained a Building Permit from the ~~Code Enforcement Officer~~ Building Inspector.
- B. Exemptions. No Building Permit shall be required for work in any of the following categories:
- (1) installation of swings and other playground equipment associated with a one- or two-family dwelling or multiple single-family dwellings (townhouses);
  - (2) installation of portable, swimming pools associated with a one- or two-family dwelling or multiple single-family dwellings (townhouses) where such pools are designed for a water depth of less than 24 inches and are installed entirely above ground;
  - (3) installation of movable cases less than 5'-9" in height;
  - (4) painting, wallpapering, carpeting, or other similar finish work;
  - (5) installation of listed portable electrical, plumbing, heating, ventilation or cooling equipment or appliances which does not require permanent utility connections;
  - (6) repairs, with an estimated value not exceeding ~~five hundred~~ five thousand dollars (~~\$5,000.~~) provided that such repairs do not involve
    - (a) the removal or cutting away of a load bearing wall, partition, or portion thereof, or of any structural beam or load bearing component;
    - (b) the removal or change of any required means of egress, or the rearrangement of parts of a structure in a manner which affects egress;
    - (c) the enlargement, alteration, replacement or relocation of any building system; or
    - (d) the removal from service of all or part of a fire protection system for any period of time.

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- C. Exemption not deemed authorization to perform non-compliant work. The exemption from the requirement to obtain a building permit for work in any category set forth in subdivision (B) of this section shall not be deemed an authorization for work to be performed in violation of the Uniform Code or the Energy Code.
- D. Applications for Building Permits. Applications for a Building Permit shall be made in writing on a form provided by or otherwise acceptable to the ~~Code Enforcement Officer~~ Building Inspector. The application shall be signed by the owner of the property where the work is to be performed or an authorized agent of the owner. The application shall include such information as the ~~Code Enforcement Officer~~ Building Inspector deems sufficient to permit a determination by the ~~Code Enforcement Officer~~ Building Inspector that the intended work complies with all applicable requirements of the Code of the Village of Sleepy Hollow, the Uniform Code and the Energy Code. The application shall include or be accompanied by the following information and documentation:
- (1) a description of the proposed work;
  - (2) the tax map number and the street address of the premises where the work is to be performed;
  - (3) the occupancy classification of any affected building or structure;
  - (4) where applicable, a statement of special inspections prepared in accordance with the provisions of the Uniform Code; and
  - (5) at least 3 sets of construction documents (drawings and/or specifications) which
    - (a) define the scope of the proposed work;
    - (b) are prepared by a New York State registered architect or licensed professional engineer where so required by the Education Law;
    - (c) indicate with sufficient clarity and detail the nature and extent of the work proposed;
    - (d) substantiate that the proposed work will comply with the Code of the Village of Sleepy Hollow, the Uniform Code and the Energy Code; and
    - (e) where applicable, include a site plan that shows any existing and proposed buildings and structures on the site, the location of any existing or proposed well or septic system, the location of the intended work, and the distances between the buildings and structures and the lot lines.
  - (6) the application for the building permit shall set forth in detail the estimated cost of the project. "Estimated cost" shall mean the reasonable market value of all labor, materials, equipment and professional services entering into and necessary for the completion of the proposed project, including all property improvements, except the cost of the land.
    - (a) Where, in the opinion of the Building Inspector, the estimated cost of construction as shown on the building permit does not reflect the actual construction costs. The Building Inspector may require the applicant to submit a notarized affidavit of the final cost of construction, and pay the difference in the amount of the building permit fee.

- F. Construction documents. Construction documents will not be accepted as part of an application for a Building Permit unless they satisfy the requirements set forth in paragraph (5) of subdivision (D) of this section. Construction documents which are accepted as part of the application for a Building Permit shall be marked as accepted by the ~~Code Enforcement Officer~~ Building Inspector in writing or by stamp. One set of the accepted construction documents shall be retained by the ~~Code Enforcement Officer~~ Building Inspector, and one set of the accepted construction documents shall be returned to the applicant to be kept at the work site so as to be available for use by the Code Enforcement Personnel. However, the return of a set of accepted construction documents to the applicant shall not be construed as authorization to commence work, nor as an indication that a Building Permit will be issued. Work shall not be commenced until and unless a Building Permit is issued.
- G. Issuance of Building Permits. An application for a Building Permit shall be examined to ascertain whether the proposed work is in compliance with the applicable requirements of the Uniform Code and Energy Code. The ~~Code Enforcement Officer~~ Building Inspector shall issue a Building Permit if the proposed work is in compliance with the applicable requirements of the Uniform Code and Energy Code.
- H. Building Permits to be displayed. Building permits shall be visibly displayed at the work site and shall remain visible until the authorized work has been completed.
- I. Work to be in accordance with construction documents. All work shall be performed in accordance with the construction documents which were submitted with and accepted as part of the application for the Building Permit. The Building Permit shall contain such a directive. The Permit Holder shall immediately notify the ~~Code Enforcement Officer~~ Building Inspector of any change occurring during the course of the work. The Building Permit shall contain such a directive. If the ~~Code Enforcement Officer~~ Building Inspector determines that such change warrants a new or amended Building Permit, such change shall not be made until and unless a new or amended Building Permit reflecting such change is issued.
- J. Time limits. Building Permits shall become invalid unless the authorized work is commenced within 6 months following the date of issuance. Building Permits shall expire 12 months after the date of issuance. A Building Permit which has become invalid or which has expired pursuant to this subdivision may be renewed upon application by the Permit Holder, payment of the applicable fee, and approval of the application by the ~~Code Enforcement Officer~~ Building Inspector.
- K. Revocation or suspension of Building Permits. If the ~~Code Enforcement Officer~~ Building Inspector determines that a Building Permit was issued in error because of incorrect, inaccurate or incomplete information, or that the work for which a Building Permit was issued materially differs from the approved Permit, or if the

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work violates the Village Code, Uniform Code or the Energy Code, the ~~Code Enforcement Officer~~ Building Inspector shall revoke the Building Permit or suspend the Building Permit until such time as the Permit Holder demonstrates that

- (1) all work then completed is in compliance with all applicable provisions of the Uniform Code and the Energy Code and
- (2) all work then proposed to be performed shall be in compliance with all applicable provisions of the Uniform Code and the Energy Code.
- (3) the Building Inspector may in his discretion, before issuing a new building permit, require the applicant to file an indemnity bond in favor of the village, with sufficient surety conditioned for compliance with this ordinance and all laws and ordinances then in force and in a sum to cover the cost of removing the structure if it does not so comply.

L. Fee. The fee specified in or determined in accordance with the provisions set forth in section 16 (Fees) of this local law must be paid at the time of submission of an application for a Building Permit, for an amended Building Permit, or for renewal of a Building Permit.

#### **§ 10-5. Construction Inspections.**

A. Work to remain accessible and exposed. Work shall remain accessible and exposed until inspected and accepted by the ~~Code Enforcement Officer~~ Building Inspector or by an Inspector authorized by the ~~Code Enforcement Officer~~ Building Inspector. The Permit Holder shall notify the ~~Code Enforcement Officer~~ Building Inspector when any element of work described in subdivision (B) of this section is ready for inspection.

B. Elements of work to be inspected. The following elements of the construction process shall be inspected made, where applicable:

- (1) work site prior to the issuance of a Building Permit;
- (2) footing
- (3) foundation walls;
- (4) water proofing, drainage, backfilling;
- (5) preparation for concrete slab;
- (6) framing;
- (7) insulation;
- (8) building systems, including underground and rough-in;
- (9) fire resistant construction;
- (10) fire resistant penetrations;
- (11) solid fuel burning heating appliances, chimneys, flues or gas vents;
- (12) Energy Code compliance; and

(13) a final inspection after all work authorized by the Building Permit has been completed.

C. Inspection results. After inspection, the work or a portion thereof shall be noted as satisfactory as completed, or the Permit Holder shall be notified as to where the work fails to comply with the Uniform Code or Energy Code. Work not in compliance with any applicable provision of the Uniform Code or Energy Code shall remain exposed until such work shall have been brought into compliance with all applicable provisions of the Uniform Code and the Energy Code, reinspected, and found satisfactory as completed.

D. Fee. The fee specified in or determined in accordance with the provisions set forth in section 16 (Fees) of this local law must be paid prior to or at the time of each inspection performed pursuant to this section.

#### § 10-6. Stop Work Orders.

A. Authority to issue. The ~~Code Enforcement Officer~~ Building Inspector is authorized to issue Stop Work Orders pursuant to this section. The ~~Code Enforcement Officer~~ Building Inspector shall issue a Stop Work Order to halt:

- (1) any work that is determined by the ~~Code Enforcement Officer~~ Building Inspector to be contrary to any applicable provision of the Uniform Code or Energy Code, without regard to whether such work is or is not work for which a Building Permit is required, and without regard to whether a Building Permit has or has not been issued for such work, or
- (2) any work that is being conducted in a dangerous or unsafe manner in the opinion of the ~~Code Enforcement Officer~~ Building Inspector, without regard to whether such work is or is not work for which a Building Permit is required, and without regard to whether a Building Permit has or has not been issued for such work, or
- (3) any work for which a Building Permit is required which is being performed without the required Building Permit, or under a Building Permit that has become invalid, has expired, or has been suspended or revoked.

B. Content of Stop Work Orders. Stop Work Orders shall

- (1) be in writing,
- (2) be dated and signed by the ~~Code Enforcement Officer~~ Building Inspector,
- (3) state the reason or reasons for issuance, and
- (4) if applicable, state the conditions which must be satisfied before work will be permitted to resume.

C. Service of Stop Work Orders. The ~~Code Enforcement Officer~~ Building Inspector shall cause the Stop Work Order, or a copy thereof, to be served on the owner of

the affected property (and, if the owner is not the Permit Holder, on the Permit Holder) personally or by certified mail. The ~~Code Enforcement Officer~~ **Building Inspector** shall be permitted, but not required, to cause the Stop Work Order, or a copy thereof, to be served on any builder, architect, tenant, contractor, subcontractor, construction superintendent, or their agents, or any other Person taking part or assisting in work affected by the Stop Work Order, personally or by certified mail; provided, however, that failure to serve any Person mentioned in this sentence shall not affect the efficacy of the Stop Work Order.

- D. Effect of Stop Work Order. Upon the issuance of a Stop Work Order, the owner of the affected property, the Permit Holder and any other Person performing, taking part in or assisting in the work shall immediately cease all work which is the subject of the Stop Work Order.
- E. Remedy not exclusive. The issuance of a Stop Work Order shall not be the exclusive remedy available to address any event described in subdivision (A) of this section, and the authority to issue a Stop Work Order shall be in addition to, and not in substitution for or limitation of, the right and authority to pursue any other remedy or impose any other penalty under section 15 (Violations) of this local law or under any other applicable local law or State law. Any such other remedy or penalty may be pursued at any time, whether prior to, at the time of, or after the issuance of a Stop Work Order.

#### **§ 10-7. Certificates of Occupancy/ Certificates of Compliance.**

- A. Certificates of Occupancy / Certificates of Compliance required.

(1) A Certificate of Occupancy / Certificate of Compliance shall be required for any work which is the subject of a Building Permit and for all structures, buildings, or portions thereof, which are converted from one use or occupancy classification or subclassification to another. Permission to use or occupy a building or structure, or portion thereof, for which a Building Permit was previously issued shall be granted only by issuance of a Certificate of Occupancy / Certificate of Compliance.

- B. Issuance of Certificates of Occupancy / Certificates of Compliance. The ~~Code Enforcement Officer~~ **Building Inspector** shall issue a Certificate of Occupancy / Certificate of Compliance if the work which was the subject of the Building Permit was completed in accordance with all applicable provisions of the Uniform Code and Energy Code and, if applicable, that the structure, building or portion thereof that was converted from one use or occupancy classification or subclassification to another complies with all applicable provisions of the Uniform Code and Energy Code. The ~~Code Enforcement Officer~~ **Building Inspector** or an Inspector authorized by the ~~Code Enforcement Officer~~ **Building Inspector** shall inspect the building, structure or work prior to the issuance of a Certificate of Occupancy / Certificate of Compliance. In addition, where

applicable, the following documents, prepared in accordance with the provisions of the Uniform Code by such person or persons as may be designated by or otherwise acceptable to the ~~Code Enforcement Officer~~ Building Inspector, at the expense of the applicant for the Certificate of Occupancy / Certificate of Compliance, shall be provided to the ~~Code Enforcement Officer~~ Building Inspector prior to the issuance of the Certificate of Occupancy / Certificate of Compliance:

- (1) a written statement of structural observations and/or a final report of special inspections, ~~and~~;
- (2) flood hazard certifications;
- (3) as-built plans; and
- (4) as-built survey.

C. Contents of Certificates of Occupancy / Certificates of Compliance. A Certificate of Occupancy / Certificate of Compliance shall contain the following information:

- (1) the Building Permit number, if any;
- (2) the date of issuance of the Building Permit, if any;
- (3) the name, address and tax map number of the property;
- (4) if the Certificate of Occupancy / Certificate of Compliance is not applicable to an entire structure, a description of that portion of the structure for which the Certificate of Occupancy / Certificate of Compliance is issued;
- (5) the use and occupancy classification of the structure;
- (6) the type of construction of the structure;
- (7) the assembly occupant load of the structure, if any;
- (8) if an automatic sprinkler system is provided, a notation as to whether the sprinkler system is required;
- (9) any special conditions imposed in connection with the issuance of the Building Permit; and
- (10) the signature of the ~~Code Enforcement Officer~~ Building Inspector issuing the Certificate of Occupancy / Certificate of Compliance and the date of issuance.

D. Temporary Certificate. The ~~Code Enforcement Officer~~ Building Inspector shall be permitted to issue a Temporary Certificate allowing the temporary occupancy of a building or structure, or a portion thereof, prior to completion of the work which is the subject of a Building Permit. However, in no event shall the ~~Code Enforcement Officer~~ Building Inspector issue a Temporary Certificate unless the ~~Code Enforcement Officer~~ Building Inspector determines

- (1) that the building or structure, or the portion thereof covered by the Temporary Certificate, may be occupied safely,
- (2) that any fire- and smoke-detecting or fire protection equipment which has been installed is operational, and

- (3) that all required means of egress from the building or structure have been provided. The ~~Code Enforcement Officer~~ **Building Inspector** may include in a Temporary Certificate such terms and conditions as he or she deems necessary or appropriate to ensure safety or to further the purposes and intent of the Uniform Code. A Temporary Certificate shall be effective for a period of time, not to exceed 6 months, which shall be determined by the ~~Code Enforcement Officer~~ **Building Inspector** and specified in the Temporary Certificate. During the specified period of effectiveness of the Temporary Certificate, the Permit Holder shall undertake to bring the building or structure into full compliance with all applicable provisions of the Uniform Code and the Energy Code.
- E. Revocation or suspension of certificates. If the ~~Code Enforcement Officer~~ **Building Inspector** determines that a Certificate of Occupancy / Certificate of Compliance or a Temporary Certificate was issued in error because of incorrect, inaccurate or incomplete information, and if the relevant deficiencies are not corrected to the satisfaction of the ~~Code Enforcement Officer~~ **Building Inspector** within such period of time as shall be specified by the ~~Code Enforcement Officer~~ **Building Inspector**, the ~~Code Enforcement Officer~~ **Building Inspector** shall revoke or suspend such certificate.
- F. Fee. The fee specified in or determined in accordance with the provisions set forth in section 16 (Fees) of this local law must be paid at the time of submission of an application for a Certificate of Occupancy / Certificate of Compliance or for Temporary Certificate.

#### **§ 10-8. Notification regarding fire or explosion.**

The chief of any fire department providing fire fighting services for a property within this Village shall promptly notify the ~~Code Enforcement Officer~~ **Building Inspector** of any fire or explosion involving any structural damage, fuel burning appliance, chimney or gas vent.

#### **§ 10-9. Unsafe Building and structures.**

Unsafe structures and equipment in this Village shall be identified and addressed in accordance with the procedures established by Local Law Number 1 of 1986, as now in effect or as hereafter amended from time to time.

#### **§ 10-10. Operating Permits.**

- A. Operation Permits required. Operating Permits shall be required for conducting the activities or using the categories of buildings listed below:
- (1) manufacturing, storing or handling hazardous materials in quantities exceeding those listed in Tables 2703.1.1(1), 2703.1.1(2), 2703.1.1(3) or

- 2703.1.1(4) in the publication entitled “Fire Code of New York State” and incorporated by reference in 19 NYCRR section 1225.1;
- (2) hazardous processes and activities, including but not limited to, commercial and industrial operations which produce combustible dust as a byproduct, fruit and crop ripening, and waste handling;
  - (3) use of pyrotechnic devices in assembly occupancies;
  - (4) buildings containing one or more areas of public assembly with an occupant load of 100 persons or more; and
  - (5) buildings whose use or occupancy classification may pose a substantial potential hazard to public safety, as determined by resolution adopted by the Board of Trustees of this Village.

Any person who proposes to undertake any activity or to operate any type of building listed in this subdivision (A) shall be required to obtain an Operating Permit prior to commencing such activity or operation.

- B. Applications for Operating Permits. An application for an Operating Permit shall be in writing on a form provided by or otherwise acceptable to the ~~Code Enforcement Officer~~ Building Inspector. Such application shall include such information as the ~~Code Enforcement Officer~~ Building Inspector deems sufficient to permit a determination by the ~~Code Enforcement Officer~~ Building Inspector that quantities, materials, and activities conform to the requirements of the Uniform Code. If the ~~Code Enforcement Officer~~ Building Inspector determines that tests or reports are necessary to verify conformance, such tests or reports shall be performed or provided by such person or persons as may be designated by or otherwise acceptable to the ~~Code Enforcement Officer~~ Building Inspector, at the expense of the applicant.
- C. Inspections. The ~~Code Enforcement Officer~~ Building Inspector or an Inspector authorized by the ~~Code Enforcement Officer~~ Building Inspector shall inspect the subject premises prior to the issuance of an Operating Permit.
- D. Multiple Activities. In any circumstance in which more than one activity listed in subdivision (A) of this section is to be conducted at a location, the ~~Code Enforcement Officer~~ Building Inspector may require a separate Operating Permit for each such activity, or the ~~Code Enforcement Officer~~ Building Inspector may, in his or her discretion, issue a single Operating Permit to apply to all such activities.
- E. Duration of Operating Permits. Operating permits shall be issued for such period of time, not to exceed one year in the case of any Operating Permit issued for an area of public assembly and not to exceed three years in any other case, as shall be determined by the ~~Code Enforcement Officer~~ Building Inspector to be consistent with local conditions. The effective period of each Operating Permit shall be specified in the Operating Permit. An Operating Permit may be reissued or renewed upon application to the ~~Code Enforcement Officer~~ Building Inspector,

payment of the applicable fee, and approval of such application by the ~~Code Enforcement Officer~~ Building Inspector.

- F. Revocation or suspension of Operating Permits. If the ~~Code Enforcement Officer~~ Building Inspector determines that any activity or building for which an Operating Permit was issued does not comply with any applicable provision of the Uniform Code, such Operating Permit shall be revoked or suspended.
- G. Fee. The fee specified in or determined in accordance with the provisions set forth in section 16 (Fees) of this local law must be paid at the time submission of an application for an Operating Permit, for an amended Operating Permit, or for reissue or renewal of an Operating Permit.

**§ 10-11. Fire safety and property maintenance inspections.**

- A. Inspections required. Fire safety and property maintenance inspections of buildings and structures shall be performed by the ~~Code Enforcement Officer~~ Building Inspector or an Inspector designated by the ~~Code Enforcement Officer~~ Building Inspector at the following intervals:
- (1) Fire safety and property maintenance inspections of buildings or structures which contain an area of public assembly shall be performed at least once every twelve (12) months.
  - (2) Fire safety and property maintenance inspections of buildings or structures being occupied as dormitories shall be performed at least once every twelve (12) months.
  - (3) Fire safety and property maintenance inspections of all multiple dwellings not included in paragraphs (1) or (2) of this subdivision, and all non-residential buildings, structures, uses and occupancies not included in paragraphs (1) or (2) of this subdivision, shall be performed at least once every (36) months.
- B. Inspections permitted. In addition to the inspections required by subdivision (A) of this section, a fire safety and property maintenance inspection of any building, structure, use, or occupancy, or of any dwelling unit, may also be performed by the ~~Code Enforcement Officer~~ Building Inspector or an Inspector designated by the ~~Code Enforcement Officer~~ Building Inspector at any time upon:
- (1) the request of the owner of the property to be inspected or an authorized agent of such owner;
  - (2) receipt by the ~~Code Enforcement Officer~~ Building Inspector of a written statement alleging that conditions or activities failing to comply with the Uniform Code or Energy Code exist; or
  - (3) receipt by the ~~Code Enforcement Officer~~ Building Inspector of any other information, reasonably believed by the Code Enforcement Officer to be

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reliable, giving rise to reasonable cause to believe that conditions or activities failing to comply with the Uniform Code or Energy Code exist;

provided, however, that nothing in this subdivision shall be construed as permitting an inspection under any circumstances under which a court order or warrant permitting such inspection is required, unless such court order or warrant shall have been obtained.

C. OFPC Inspections. Nothing in this section or in any other provision of this local law shall supersede, limit or impair the powers, duties and responsibilities of the New York State Office of Fire Prevention and Control (“OFPC”) and the New York State Fire Administrator under Executive Law section 156-e and Education Law section 807-b.

D. Fee. The fee specified in or determined in accordance with the provisions set forth in section 16 (Fees) of this local law must be paid prior to or at the time each inspection performed pursuant to this section. This subdivision shall not apply to inspections performed by OFPC.

#### **§ 10-12. Complaints.**

The ~~Code Enforcement Officer~~ **Building Inspector** shall review and investigate complaints which allege or assert the existence of conditions or activities that fail to comply with the Uniform Code, the Energy Code, this local law, or any other local law, The Code of the Village of Sleepy Hollow, or regulation adopted for administration and enforcement of the Uniform Code or the Energy Code. The process for responding to a complaint shall include such of the following steps as the ~~Code Enforcement Officer~~ **Building Inspector** may deem to be appropriate:

- A. performing an inspection of the conditions and/or activities alleged to be in violation, and documenting the results of such inspection;
- B. if a violation is found to exist, providing the owner of the affected property and any other Person who may be responsible for the violation with notice of the violation and opportunity to abate, correct or cure the violation, or otherwise proceeding in the manner described in section 15 (Violations) of this local law;
- C. if appropriate, issuing a Stop Work Order;
- D. if a violation which was found to exist is abated or corrected, performing an inspection to ensure that the violation has been abated or corrected, preparing a final written report reflecting such abatement or correction, and filing such report with the complaint.

**§ 10-13. Record keeping.**

- A. ~~The Code Enforcement Officer~~ **Building Inspector** shall keep permanent official records of all transactions and activities conducted by all Code Enforcement Personnel, including records of:
- (1) all applications received, reviewed and approved or denied;
  - (2) all plans, specifications and construction documents approved;
  - (3) all Building Permits, Certificates of Occupancy / Certificates of Compliance, Temporary Certificates, Stop Work Orders, and Operating Permits issued;
  - (4) all inspections and tests performed;
  - (5) all statements and reports issued;
  - (6) all complaints received;
  - (7) all investigations conducted;
  - (8) all other features and activities specified in or contemplated by sections 4 through 12, inclusive, of this local law, including; and
  - (9) all fees charged and collected.
- B. All such records shall be public records open for public inspection during normal business hours. All plans and records pertaining to buildings or structures, or appurtenances thereto, shall be retained for at least the minimum time period so required by State law and regulation.

**§ 10-14. Program review and reporting.**

- A. ~~The Code Enforcement Officer~~ **Building Inspector** shall annually submit to The Board of Trustees of this Village a written report and summary of all business conducted by the ~~Code Enforcement Officer~~ **Building Inspector** and the Inspectors, including a report and summary of all transactions and activities described in section 13 (Record Keeping) of this local law and a report and summary of all appeals or litigation pending or concluded.
- B. ~~The Code Enforcement Officer~~ **Building Inspector** shall annually submit to the Secretary of State, on behalf of this Village, on a form prescribed by the Secretary of State, a report of the activities of this Village relative to administration and enforcement of the Uniform Code.
- C. ~~The Code Enforcement Officer~~ **Building Inspector** shall, upon request of the New York State Department of State, provide to the New York State Department of State, from the records and related materials this Village is required to maintain, excerpts, summaries, tabulations, statistics and other information and accounts of the activities of this Village in connection with administration and enforcement of the Uniform Code.

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## § 10-15. Violations.

A. Compliance Orders. The ~~Code Enforcement Officer~~ Building Inspector is authorized to order in writing the remedying of any condition or activity found to exist in, on or about any building, structure, or premises in violation of the Uniform Code, the Energy Code, or this local law. Upon finding that any such condition or activity exists, the ~~Code Enforcement Officer~~ Building Inspector shall issue a Compliance Order. The Compliance Order shall

- (1) be in writing;
- (2) be dated and signed by the ~~Code Enforcement Officer~~ Building Inspector;
- (3) specify the condition or activity that violates the Uniform Code, the Energy Code, or this local law;
- (4) specify the provision or provisions of the Uniform Code, the Energy Code, or this local law which is/are violated by the specified condition or activity;
- (5) specify the period of time which the ~~Code Enforcement Officer~~ Building Inspector deems to be reasonably necessary for achieving compliance;
- (6) direct that compliance be achieved within the specified period of time; and
- (7) state that an action or proceeding to compel compliance may be instituted if compliance is not achieved within the specified period of time. The ~~Code Enforcement Officer~~ Building Inspector shall cause the Compliance Order, or a copy thereof, to be served on the owner of the affected property personally or by certified mail. The ~~Code Enforcement Officer~~ Building Inspector shall be permitted, but not required, to cause the Compliance Order, or a copy thereof, to be served on any builder, architect, tenant, contractor, subcontractor, construction superintendent, or their agents, or any other Person taking part or assisting in work being performed at the affected property personally or by certified mail; provided, however, that failure to serve any Person mentioned in this sentence shall not affect the efficacy of the Compliance Order.

B. Appearance Tickets. The ~~Code Enforcement Officer~~ Building Inspector and each Inspector are authorized to issue appearance tickets for any violation of the Uniform Code. Every appearance ticket that is issued, pursuant to the provisions of this chapter, shall include the following:

- (1) The name and address of the party(s) to whom the ticket is issued, when known;
- (2) citation of laws(s) and the appropriate section(s) allegedly violated;
- (3) the ~~pa~~nd date of the alleged violation(s);
- (4) the ~~pl~~ace, date and time that the party(s) is (are) required to appear in court;
- (5) the date of issuance of the appearance ticket(s);
- (6) te ~~tt~~ and signature of the issuing officer(s).

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C. Civil Penalties. In addition to those penalties proscribed by State law, any Person who violates any provision of the Uniform Code, the Energy Code or this local law, or any term or condition of any Building Permit, Certificate of Occupancy / Certificate of Compliance, Temporary Certificate, Stop Work Order, Operating Permit or other notice or order issued by the ~~Code Enforcement Officer~~ Building Inspector pursuant to any provision of this local law, shall be liable to a civil penalty of not ~~more~~ less than \$~~200~~ 250 ~~nor more than \$1,000~~ for each day or part thereof during which such violation continues; or imprisonment not exceeding one year, or both. The civil penalties provided by this subdivision shall be recoverable in an action instituted in the name of this Village. In addition to the fine, the Court may impose a one-year conditional discharge requiring the defendant to permit access to the Department to reinspect the premises from time to time during the period of the conditional discharge.

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D. Injunctive Relief. An action or proceeding may be instituted in the name of this Village, in a court of competent jurisdiction, to prevent, restrain, enjoin, correct, or abate any violation of, or to enforce, any provision of the Uniform Code, the Energy Code, this local law, or any term or condition of any Building Permit, Certificate of Occupancy / Certificate of Compliance, Temporary Certificate, Stop Work Order, Operating Permit, Compliance Order, or other notice or order issued by the ~~Code Enforcement Officer~~ Building Inspector pursuant to any provision of this local law. In particular, but not by way of limitation, where the construction or use of a building or structure is in violation of any provision of the Uniform Code, the Energy Code, this local law, or any Stop Work Order, Compliance Order or other order obtained under the Uniform Code, the Energy Code or this local law, an action or proceeding may be commenced in the name of this Village, in the Supreme Court or in any other court having the requisite jurisdiction, to obtain an order directing the removal of the building or structure or an abatement of the condition in violation of such provisions. No action or proceeding described in this subdivision shall be commenced without the appropriate authorization from the Mayor of this Village.

E. Remedies Not Exclusive. No remedy or penalty specified in this section shall be the exclusive remedy or remedy available to address any violation described in this section, and each remedy or penalty specified in this section shall be in addition to, and not in substitution for or limitation of, the other remedies or penalties specified in this section, in section 6 (Stop Work Orders) of this local law, in any other section of this local law, or in any other applicable law. Any remedy or penalty specified in this section may be pursued at any time, whether prior to, simultaneously with, or after the pursuit of any other remedy or penalty specified in this section, in section 6 (Stop Work Orders) of this local law, in any other section of this local law, or in any other applicable law. In particular, but not by way of limitation, each remedy and penalty specified in this section shall be in addition to, and not in substitution for or limitation of, the penalties specified in subdivision (2) of section 381 of the Executive Law, and any remedy or penalty specified in this section may be pursued at any time, whether prior to,

simultaneously with, or after the pursuit of any penalty specified in subdivision (2) of section 381 of the Executive Law.

- F. Recording Violation Notice. Whenever a notice or order has been issued for any infraction of this chapter, the Building Department shall keep on file a copy of such notice or order in the Building Department. This recording shall constitute notice of such violation, infraction or order to any subsequent purchaser, transferee, grantee, mortgagee or lessee of the property affected thereby. Whenever a notice or order has been issued for any infraction of this chapter, the Building Department shall forward a copy of such notice or order to the lender or mortgagee of the property, if identity of same is known to the Building Department.
- G. Transfer of Ownership. No owner of any dwelling, dwelling unit or other premises, upon which any notice or order pursuant to this local law has been served, shall sell, transfer, grant, mortgage, lease or otherwise dispose of such property to another until compliance of the provisions of such notice or order has been secured; and fines, if any, have been paid in full, or until such owner shall furnish to the purchaser, transferee, grantee, mortgagee or lessee, prior to such sale, transfer, grant, mortgage or lease a true copy of such notice or order and, at the same time, give adequate notification to the Building Department of his intent to sell, transfer, grant, mortgage or lease, and supply to the chief officer in writing, the name and address of such person, persons, or firm to whom the sale, transfer, grant, mortgage, or lease is proposed. A purchaser, transferee, grantee, mortgagee or lessee, who has been informed of the existence of any notice or order issued pursuant to this local law, shall be bound thereby.

Expenses. The expenses incurred pursuant to this Chapter shall be paid by the owner or occupant of the premises, or by the person who caused or maintained such nuisance or other violation. The Building Department shall file among its records an affidavit stating, with fairness and accuracy, the items of expense and the date of execution of actions authorized above. The Village Attorney may institute a suit to recover such expenses against any person liable for such expenses or may cause such expenses to be charged against the property as a lien. Except with respect to a lien imposed for expenses incurred in demolition, nothing herein shall be construed as placing a lien upon the property which has priority over the lien of any recorded mortgage or lien on such property executed and recorded prior to the existence of a lien herein authorized.

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**§ 10-16. Fees.**

A fee schedule shall be established by resolution of the Board of Trustees of this Village. Such fee schedule may thereafter be amended from time to time by like resolution. The fees set forth in, or determined in accordance with, such fee schedule or amended fee schedule shall be charged and collected for the submission of applications, the issuance of Building Permits, amended Building Permits, renewed Building Permits, Certificates of occupancy / Certificates of Compliance, Temporary Certificates,

Operating Permits, fire safety and property maintenance inspections, and other actions of the ~~Code Enforcement Officer~~ Building Inspector described in or contemplated by this local law.

**§ 10-17. Intermunicipal agreements.**

The Board of Trustees of this Village may, by resolution, authorize the Mayor of this Village to enter into an agreement, in the name of this Village, with other governments to carry out the terms of this local law, provided that such agreement does not violate any provision of the Uniform Code, the Energy Code, Part 1203 of Title 19 of the NYCRR, or any other applicable law.

**§ 10-18. Partial invalidity.**

If any section of this local law shall be held unconstitutional, invalid, or ineffective, in whole or in part, such determination shall not be deemed to affect, impair, or invalidate the remainder of this local law.

**§ 10-19. Effective date.**

This local law shall take effect immediately upon filing in the office of the New York State Secretary of State in accordance with section 27 of the Municipal Home Rule Law.